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**THE FIRST BOUNDARY PROBLEM FOR THE SECOND ORDER  
PARABOLIC EQUATIONS WITH NON-UNIFORM DEGREE  
DEGENERATION**

Let  $D$  be bounded domain with twice smooth boundary  $dD$ , which is situated, in the  $n$ - dimensional Euclidean space  $E_n$  of points  $x = (x_1, \dots, x_n)$ ,  $0 \in D$ . Let further  $Q_T = D \times (-T_1, T_2)$  be the cylindrical domain, which is situated in  $(n+1)$ - dimensional Euclidean space  $R_{n+1}$  of points  $(x, t) = (x_1, \dots, x_n, t)$ ,  $T_1 > 0$ ,  $T_2 > 0$ . We consider in  $Q_T$  the first boundary problem

$$LU = \sum_{i,k=1}^n a_{ik}(x,t)U_{ik} - U_t = f(x,t) \tag{1}$$

$$U|_{\gamma(Q_T)} = 0 \tag{2}$$

where  $\gamma(Q_T)$  is parabolic boundary of the cylinder  $Q_T$ . It is well- known, if the matrix  $\|a_{ik}(x,t)\|$  is uniformly positive defined in  $Q_T$  and coefficients  $a_{ik}(x,t)$  are continuous in  $\bar{Q}_T$  the problem (1) - (2) is uniquely solvable in the space  $W_{p,0}^{2,1}$  for all  $f(x,t) \in L_p(Q_T)$ ,  $1 < p < \infty$  ([1,2]). In case of  $p = 2$  the corresponding result has been taken place for the class of equations with discontinuous coefficients ([3]) satisfying to condition of Cordes type. In [4] the unique solvability of the problem (1) - (2) for non- uniformly degenerating parabolic equations has been proved. In this case the speed of degeneration is satisfied to so- called "logarithm" condition, so none of equations with non- uniform degree degeneration can be considered. The aim of this work is to find class of the second order parabolic equations with non- uniform degree degeneration for which the unique solvability of the problem (1) - (2) takes place.

We suppose that the following conditions on the coefficients of the operator  $L$  have been carried out

$$\gamma_1 \sum_{i=1}^n \lambda_i(x,t) \zeta_i^2 \leq \sum_{i,k=1}^n a_{ik}(x,t) \zeta_i \zeta_k \leq \gamma_2 \sum_{i=1}^n \lambda_i(x,t) \zeta_i^2, \tag{3}$$

$$\frac{a_{ik}(x,t)}{\sqrt{\lambda_i(x,t)\lambda_k(x,t)}} \in C(\bar{Q}_T) \tag{4}$$

where  $\gamma_1 > 0, \gamma_2 < \infty, \lambda_i(x, t) = (|x|_\alpha + \sqrt{|t|})^{\alpha_i}, |x|_\alpha = \sum_{i=1}^n |x_i|, \bar{\alpha}_i, \bar{\alpha}_i = \frac{2}{2 + \alpha_i}, \alpha_i \geq 0,$   
 $i = 1, \dots, n.$

Moreover we shall suppose, if  $\alpha^+ = \max \{\alpha_i\}, \alpha^- = \min \{\alpha_i\},$  then

$$\alpha^+ < 2 \left( 1 + \frac{1}{n} \right), \quad (5)$$

$$\frac{2n}{n+1} \alpha^+ - \alpha^- < 2 \quad (6)$$

Let us note, that from the condition (5) - (6), we receive

$$\frac{\alpha^+ n}{2} < n+1, \quad \frac{2\alpha^+ n}{2 + \alpha^-} < n+1$$

So that, if

$$p_1 = \max \left\{ \frac{\alpha^+ n}{2}, \frac{2\alpha^+ n}{2 + \alpha^-} \right\},$$

then  $p_1 < n+1.$

Denote

$$q_0 = \frac{(n+1)^2}{n+1 - p_1}.$$

Further throughout the paper it is supposed, that  $p$  is any number from interval  $\left( 1, \frac{n+1}{2\beta} \right),$  where  $\beta = \max \left\{ \frac{1}{2}, \frac{2}{2 + \alpha^+} \right\}$  and  $q \in (q_0, \infty).$

We denote through  $C_{0,R}^{t_1, t_2}(K)$  - cylinder  $\left\{ (x, t) : \sum_{i=1}^n \frac{x_i^2}{R^{\alpha_i}} < k^2 R^2, t_1 < t < t_2 \right\}$   
 and through  $\Pi_{x_0, R}^{t_1, t_2}$  - cylinder  $\{(x, t) : |x - x_0| < R, t_1 < t < t_2\}.$  Let  $R > 0$  be so little, that closure of the cylinder  $C_{0,R}^{-4R^2, 4R^2}(4)$  is contented in  $\bar{Q}_T.$  Let us consider the cylindrical layer

$$A = C_{0,R}^{-4R^2, 4R^2}(4) \setminus C_{0,R}^{-\frac{1}{2}R^2, \frac{1}{2}R^2} \left( \frac{1}{2} \right)$$

Don't particularly announce we shall suppose that all constants utilized in this work positive. Moreover for briefness we shall denote  $\text{ess sup}_{Q_T}$  through

$\sup_{Q_T}(U).$

**Lemma 1.** Suppose that conditions (3) - (6) on coefficients of the operator  $L$  have been fulfilled. In this case, if  $U(x, t) \in C_0^\infty(A)$  and  $R$  is coefficiently little, then there exists constant  $C_1 = C_1(L, n, p)$  such that

$$\sum_{i=1}^n [\lambda_i(x,t) \lambda_k(x,t)]^{p/2} |u_{ik}|^p dxdt + \int_A |u_t|^p dxdt \leq C_1 \int_A |Lu|^p dxdt$$

(7) **Proof.** We make transformation of variables

$Y_i = \frac{x_i}{R^{\alpha_i/2}}, i = 1, n, \tau = t$ . Then  $A$  transforms in the usual cylindrical

layer  $\tilde{A} = \Pi_{0,4R}^{-4R^2,4R^2} \setminus \Pi_{0,\frac{R}{2}}^{-\frac{1}{2}R^2,\frac{1}{2}R^2}$  and the operator  $L$  - in the operator

$$\tau = \sum_{i=1}^n \frac{\alpha_{ik}(x,t)}{R^{(\alpha_i+\alpha_k)/2}} \cdot \frac{\partial^2}{\partial y_i \partial y_k} - \frac{\partial}{\partial \tau}$$

According to the condition (3), the operator  $L$  is uniformly parabolic in  $\tilde{A}$  and also its parabolicity constants don't depend on  $R$ . Moreover from (4) we get that module of continuity of the Loperator's coefficients doesn't also depend on  $R$ . Then according to the apriori estimate [5]

$$\int_{\tilde{A}} \sum_{i=1}^n |\tilde{u}_{y_i y_k}|^p dyd\tau + \int_{\tilde{A}} |\tilde{u}_\tau|^p dyd\tau \leq C_2(L, n, p) \int_{\tilde{A}} |\tilde{L}\tilde{u}|^p dyd\tau,$$

where  $\tilde{u}(y, \tau) = u(\dots, R^{\alpha_i/2} y_i, \dots, \tau)$ .

Returning to variables  $(x, t)$ , we get

$$\int_A \sum_{i=1}^n R^{\alpha_i \cdot p/2} R^{\alpha_k \cdot p/2} |u_{ik}|^p dydt + \int_A |u_t|^p dydt \leq C_2 \int_A |Lu|^p dxdt \quad (8)$$

Now, it is enough to take into account, that in the case  $(x, t) \in A$ ,  $\lambda_i(x, t) \leq C_3(L, n) R^{\alpha_i}, i = 1, n$  and then from (8) we obtain demanding estimate (7).

Lemma is proved.

**Lemma 2.** Let the previous lemma conditions has been implemented. Then

$$\begin{aligned} & \int_A [|u|^p + \sum_{i=1}^n [d_i(x,t)]^{p/2} |u_i|^p + \sum_{i,k=1}^n [\lambda_i(x,t) \lambda_k(x,t)]^{p/2} [|u_{ik}|^p + |u_i|^p] dxdt \leq \\ & \leq C_4(L, n, p) \int_A |Lu|^p dxdt \end{aligned}$$

The proof of this lemma is grounded on the estimate [7] and Steklov-Fridrix type inequately. Let  $A_1 = C_{0,R}^{-2R^2,2R^2}(2) \setminus C_{0,R}^{-R^2,R^2}(1)$ .

**Lemma 3.** Let the lemma 1 conditions have carried out and  $U(x, t) \in C^\infty(\bar{A})$ . Then

$$\begin{aligned} & \int_A [|u|^p] + \sum_{i=1}^n [\lambda_i(x,t)]^{p/2} |u_i|^p + \sum_{i,k=1}^n [\lambda_i(x,t) \lambda_k(x,t)]^{p/2} [|u_{ik}|^p + |u_i|^p] dxdt \leq \\ & \leq C_5 \int_A |Lu|^p + C_6 \int_A \sum_{i=1}^n [\lambda_i(x,t)]^{p/2} |u_i|^p dxdt + C_7 \int_A |u|^p dxdt, \end{aligned}$$

where constant  $C_5$  only depends on coefficients of the operator  $L$ ,  $n$  and  $p$ , and  $C_6, C_7$  - moreover depend on  $p$ .

The proof of this lemma is grounded at the application of the previous lemma to the function

$$v(x, t) = u(x, t) \cdot \eta(x, t),$$

where  $\eta(x, t) = 1$  in  $A$ ,  $\eta(x, t) \in C_0^\infty(A)$ ,  $0 \leq \eta(x, t) \leq 1$ .

We denote through  $W_{p,\Lambda}^{2,1}(D)$  the Banach space of the functions  $u(x, t)$  defined in  $D$ , with finite norm

$$\|u\|_{W_{p,\Lambda}^{2,1}(D)} = \left[ \int_D \left[ |u|^p + \sum_{i=1}^n [\lambda_i(x, t)]^{p/2} |u_i|^p + \sum_{i,k=1}^n [\lambda_i(x, t) \lambda_k(x, t)]^{p/2} |u_{ik}|^p + |u_t|^p \right] dxdt \right]^{1/p}$$

Corollary if the lemma 1 conditions have been fulfilled, then for any function  $u(x, t) \in W_{p,\Lambda}^{2,1}(A)$  and for all  $\varepsilon > 0$  the following estimate is true

$$\|u\|_{W_{p,\Lambda}^{2,1}(A)}^p \leq C_8 \int_A |Lu|^p dxdt + \varepsilon \|u\|_{W_{p,\Lambda}^{2,1}(A)}^p + C(\varepsilon) \cdot C_9 \int_A \frac{|u|^p}{[\rho(x, t)]^{L\rho p}} dxdt,$$

(9)

where  $C_8$  and  $C_9$  constants, depending only on coefficients of the operator  $L$ ,  $n$

and  $p$ ,  $\rho(x, t) = \sum_{i=1}^n |x_i| + |t|$ . We can deduce the estimate (9) from the lemma 3,

utilizing interpolation inequality.

$$\text{Let } C^0 = C_{0,R}^{-4R^2, 4R^2}(4), C^1 = C_{0,R}^{-2R^2, 2R^2}(2).$$

**Lemma 4.** In the conditions of the lemma 1 for  $u(x, t) \in W_{p,\Lambda}^{2,1}(C_0)$  and for all  $\varepsilon > 0$  the following inequality is true

$$\|u\|_{W_{p,\Lambda}^{2,1}(C_1)}^p \leq C_{10} \int_{C^0} |Lu|^p dxdt + \varepsilon \|u\|_{W_{p,\Lambda}^{2,1}(C^0)}^p + C(\varepsilon) C_{11} \int_{C^0} \frac{|u|^p}{[\rho(x, t)]^{2\beta p}} dxdt,$$

where  $C_{10} = C_{10}(L, n, p)$ ,  $C_{11} = C_{11}(L, n, p)$ .

For proof it is enough to put  $R = 2^{-k}$ ,  $k = 0, 1, \dots$ , in the inequality (9) and sum up received estimates by  $k$  from 0 to  $\infty$ .

Denote through  $W_{p,\Lambda}^{2,1}(Q_T)$  subspace of  $W_{p,\Lambda}^{2,1}(Q_T)$ , in which the infinitely differentiable functions vanishing on  $\gamma(Q_T)$  make a dense set.

**Theorem 1.** Let  $u(x, t) \in W_{p,\Lambda}^{2,1}(Q_T)$ . Then, these exist constants  $C_{12}$  and  $C_{13}$ , depending only on coefficients of the operator  $L$ ,  $n$  and  $p$ , such, that

$$\|u\|_{W_{p,\Lambda}^{2,1}(Q_T)}^p \leq C_{12} \int_{Q_T} |Lu|^p dxdt + C_{13} \int_{Q_T} \frac{|u|^p}{[\rho(x, t)]^{2\beta p}} dxdt$$

The proof of this is grounded on the "local straighting of boundary" (look [6]) met had and the lemma 4.

**Lemma 5.** Let  $u(x, t) \in \dot{W}_{p, \Lambda}^{2,1}(Q_T)$  and  $q > q_0$ . Then

$$\sup_{Q_T} |u| \leq C_{14}(q, n, L, Q_T) \|Lu\|_{L_q(Q_T)}, \quad (10)$$

**Proof.** According to the N. V Krylovapriori estimate [7]

$$\sup_{Q_T} |u| \leq C_{15}(L, n, Q_T) \left\| (\text{deta})^{\frac{-1}{n+1}} |Lu| \right\|_{L_{n+1}(Q_T)}, \quad (11)$$

where  $a = \|a_{ii}(x, t)\|$ .

But

$$\text{deta} \geq C_{16}(L, n) \prod_{i=1}^n \lambda_i(x, t) \geq C_{17}(L, n) [\rho(x, t)]^{p_1}. \quad (12)$$

Taking (12) into account in (11), we get

$$\begin{aligned} \sup_{Q_T} |u| &\leq C_{17}(L, n, Q_T) \left( \int_{Q_T} \frac{|Lu|^{n+1}}{[\rho(x, t)]^{p_2}} dx dt \right)^{\frac{1}{n+1}} \leq \left( \int_{Q_T} |Lu|^{(n+1)s} dx dt \right)^{\frac{1}{s(n+1)}} \times \\ &\times \left( \int_{Q_T} [\rho(x, t)]^{-\frac{p_2 s}{s-1}} dx dt \right)^{\frac{s-1}{s}}, \end{aligned} \quad (13)$$

where  $s$  is an arbitrary number from the seminfinte interval  $\left( \frac{n+1}{n+1-p_1}, \infty \right)$ . It is

easy to see, that  $\frac{p_1 s}{s-1} < n+1$ . That's why from (13) we infer

$$\sup_{Q_T} |u| \leq C_{18}(L, N, Q_T, s) \int_{Q_T} |Lu|^{(n+1)s} dx dt \quad (14)$$

Now it is enough to choose  $s$ , so that  $(n+1)s = q$ , and then from (14) we get demanded estimate (10).

Lemma is proved.

**Corollary 1.** In the conditions of the lemma

$$\int_{Q_T} \frac{|u|^p dx dt}{[\rho(x, t)]^{2\beta p}} \leq C_{19}(L, n, Q_T, q, p) \|Lu\|_{L_q(Q_T)}^p$$

For proof it is enough to notice, that according to the choice  $p > 2\beta p < n+1$ , and then apply the lemma 5.

**Corollary 2.** In the conditions of the lemma

$$\int_{Q_T} |Lu|^p dx dt \leq C_{20}(L, n, Q_T, q, p) \|Lu\|_{L_q(Q_T)}^p$$

For proof it is enough to apply Holder's inequality. Now we denote through  $\dot{V}_{p,\Lambda}^{2,1}(Q_T)$  closure of the set of the smooth functions, defined in  $Q_T$  vanishing on  $\gamma(Q_T)$ , by the norm

$$\|u\|_{\dot{V}_{p,\Lambda}^{2,1}(Q_T)} = \sup_{Q_T} |u| + \left( \int_{Q_T} \left[ \sum_{i=1}^n [\lambda_i(x,t)]^{p/2} |u_i|^p + |u_t|^p + \sum_{i,k=1}^n [\lambda_i(x,t)\lambda_k(x,t)]^{p/2} |u_{it}|^p \right] dxdt \right)^{1/p}.$$

From proved lemmas we get the next theorem

**Theorem 2.** Let  $u(x,t) \in \dot{V}_{p,\Lambda}^{2,1}(Q_T)$ ,  $p \in \left(1, \frac{n+1}{2\beta}\right)$  and  $q \in (q_0, \infty)$ . Then

$$\|u\|_{\dot{V}_{p,\Lambda}^{2,1}(Q_T)} \leq C_{21}(L, n, Q_T, p, q) \|Lu\|_{Lq(Q_T)}.$$

Now we prove the main theorem of this work.

**Theorem 3.** Let  $p$  and  $q$  satisfy the conditions of the previous theorem. Then the first boundary problem

$$Lu = f, \quad U|_{\gamma(Q_T)} = 0 \tag{15}$$

has unique generalized (almost every where) solution  $u(x,t) \in \dot{V}_{p,\Lambda}^{2,1}$  for all  $f(x,t) \in L_q(Q_T)$ .

In this case

$$\|u\|_{\dot{V}_{p,\Lambda}^{2,1}(Q_T)} \leq C_{21} \|f\|_{Lq(Q_T)}. \tag{16}$$

**Proof.** Let  $h > 0$  be sufficiently small number. In this case, if

$$\lambda_i^h(x,t) = \max\{\lambda_i(x,t), h\} \quad i = 1, \dots, n$$

then there is sufficiently small neighbourhood  $O_1(h)$  of the point  $(0,0)$  such, that  $\lambda_i^h(x,t) = \lambda_i(x,t)$  for  $(x,t) \in Q_T \setminus O_1(h)$ .

Let  $\|a_{ik}^h(x,t)\|$  be matrix such that  $a_{ik}^h(x,t) \in C(\bar{Q}_T)$ ,  $j_1 \sum_{i=1}^n \lambda_i^h(x,t) \zeta_i^2 \leq \sum_{i,k=1}^n a_{ik}^h(x,t) \zeta_i \zeta_k \leq j_2 \sum_{i=1}^n \lambda_i^h(x,t) \zeta_i^2$  and there is neighbourhood  $O_2(h)$  of the point  $(0,0)$ , out of which

$$a_{ik}^h(x,t) = a_{ik}(x,t).$$

Let further

$$L^h = \sum_{i,k=1}^n a_{ik}^h(x,t) \frac{\partial L}{\partial x_i \partial x_k} - \frac{\partial}{\partial x}$$

We consider auxiliary problem

$$L^h u^h = f, \quad (x,t) \in Q_T, \quad U^h|_{\gamma(Q_T)} = 0 \tag{17}$$

As the operator  $L^h$  is non-degenerated for all  $h > 0$ , the problem (17) has unique generalized solution  $U^h(x, t) \in W_q^{2,1}(Q_T)$  (look [5]).

$$\text{But } \dot{W}_q^{2,1}(Q_T) \subset \dot{W}_p^{2,1}(Q_T) \subset \dot{W}_{p,\Lambda}^{2,1}(Q_T).$$

Moreover, according to the lemma 5

$$\sup_{Q_T} |U^h| \leq C_{14} \|f^h U^h\|_{L_q(Q_T)} = C_{14} \|f\|_{L_q(Q_T)} < \infty,$$

i.e.  $U^h \in \dot{V}_{p,\Lambda}^{2,1}(Q_T)$ . Order wise by the theorem 2

$$\|U^h\|_{\dot{V}_{p,\Lambda}^{2,1}(Q_T)} \leq C_{21} \|f\|_{L_q(Q_T)} = C_{22} < \infty.$$

Thus the family  $\{U^h(x, t)\}$  is bounded by the norm  $\dot{V}_{p,\Lambda}^{2,1}(Q_T)$  uniformly with respect to  $h$ . i.e. this family is weakly compact in this space. It means, that there exist sequence  $h_\gamma \rightarrow \infty$  ( $\gamma \rightarrow \infty$ ) and function  $U(x, t) \in \dot{V}_{p,\Lambda}^{2,1}(Q_T)$ , such that

$$(LU^{h_\gamma}, \varphi) \rightarrow (LU, \varphi) \quad (\gamma \rightarrow \infty)$$

for all  $\varphi \in C_0^\infty(Q_T)$ . Here

$$(LU, \varphi) = \int_{Q_T} LU(x, t) \varphi(x, t) dx dt.$$

Now we show that

$$(LU, \varphi) = (f, \varphi). \quad (18)$$

Really

$$(LU^{h_\gamma}, \varphi) = ((L - L^{h_\gamma})U^{h_\gamma}, \varphi) + (L^{h_\gamma}U^{h_\gamma}, \varphi) = ((L - L^{h_\gamma})U^{h_\gamma}, \varphi) + (f, \varphi),$$

and (18) will be proved, if we show, that

$$\lim_{\gamma \rightarrow \infty} ((L - L^{h_\gamma})U^{h_\gamma}, \varphi) = 0, \quad (19)$$

for all  $\varphi(x, t) \in C_0^\infty(Q_T)$ . But the operators  $L$  and  $L^{h_\gamma}$  coincide out of the neighbourhood  $O_2^{h_\gamma}$  of the point  $(0, 0)$ . So that

$$\begin{aligned} |((L - L^{h_\gamma})U^{h_\gamma}, \varphi)| &\leq \int \sum_{O_2^{h_\gamma} \text{ sat}}^h |a_{ct}^{h_\gamma}(x, t) - a_{ct}(x, t)| |U_{ct}^{h_\gamma}(x, t)| |\varphi(x, t)| dx dt \leq \\ &\leq C_{23} \int \sum_{O_2^{h_\gamma} \text{ sat}}^h \sqrt{\lambda_t^{h_\gamma}(x, t) \lambda_t^{h_\gamma}(x, t)} |U_{ct}^{h_\gamma}(x, t)| |\varphi(x, t)| dx dt \leq C_{25} \|f\|_{L_q(O_2^{h_\gamma})} \|\varphi\|_{L_{p'}(O_2^{h_\gamma})} \end{aligned}$$

These constants  $C_{23}, C_{24}, C_{25}$  don't depend on  $\gamma$  and  $\frac{1}{p} + \frac{2}{p'} = 1$ . Hence we get the

limit equality (19). These fore from (18) we get, that  $LU = f$  almost everywhere in  $Q_T$ . Moreover  $U(x, t) \in V_{p,\Lambda}^{2,1}(Q_T)$ . Thus  $U(x, t)$  is generalized solution to the boundary problem (15). Now the estimate (15) is a corollary of the theorem 2, but uniqueness of the solution to the problem (15) can be obtained from the estimate (16). Theorem is proved.

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### İKİNCİ TƏRTİBLİ QÜVVƏ SÜR'ƏTİ İLƏ QEYRİ-MÜNTƏZƏM QIRLAŞAN PARABOLİK TƏNLİKLƏR ÜÇÜN BİRİNCİ SƏRHƏD MƏSƏLƏSİ

Məqalədə bir sinif qüvvə sürəti ilə qeyri- müntəzəm qırışan parabolik tənliklər üçün Sobolev fəzasında birinci sərhəd məsələsi hərlinin varlığı və yeganəliyi isbat olunmuşdur.

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### ПЕРВАЯ КРАЕВАЯ ЗАДАЧА ДЛЯ ПАРАБОЛИЧЕСКИХ УРАВНЕНИЙ 2-ГО ПОРЯДКА С НЕРАВНОМЕРНЫМ СТЕПЕННЫМ ВЫРОЖДЕНИЕМ

В статье доказывается однозначная разрешимость первой краевой задачи для класса параболических уравнений 2-го порядка с неравномерным степенным вырождением.